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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Stephen K. Pinto et al. Art Unit : 2123  
Serial No. : 10/826,453 Examiner : Unknown  
Filed : April 16, 2004  
Title : TARGET PROFILING IN PREDICTIVE MODELING

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office Action on the merits. Please apply any charges or credits to deposit account no. 06-1050, referencing 17146-009001.

Respectfully submitted,

Date: \_\_\_\_\_

2/24/05

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I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute Form PTO-1449

U.S. Department of Commerce  
Patent and Trademark Office

Attorney's Docket No.

17146-009001

Application No.

10/826,453

**Information Disclosure Statement  
by Applicant**

(Use several sheets if necessary)

(37 CFR § 1.96(b))

Applicant

Stephen K. Pinto et al.

Filing Date

April 16, 2004

Group Art Unit

2123

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,692,107	11/25/1997	Simoudis et al.			
	AB	5,826,250	10/20/1998	Trefler			
	AC	6,185,543	02/06/2001	Galperin et al.			
	AD	6,269,325	07/31/2001	Lee et al.			
	AE	6,317,752	11/13/2001	Lee et al.			
	AF	6,640,215	10/28/2003	Galperin et al.			
	AG	6,542,894	04/01/2003	Lee et al.			
	AH	6,631,360	10/07/2003	Cook			
	AI	6,782,390	08/24/2004	Lee et al.			
	AJ	2002/0052836	05/02/2002	Galperin et al.			
	AK	2003/0018601	01/23/2003	Lee et al.			
	AL						

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AM	WO 99/22328	05/06/1999	WIPO				
	AN							
	AO							

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
	AP	Commercial software: SPSS Clementine: <a href="http://www.spss.com/Clementine/index.htm">www.spss.com/Clementine/index.htm</a>
	AQ	"On-line Seminar: How to keep customers and increase profits using predictive analytics powered by Clementine", <a href="http://www.spss.com/spssbi/directresponse/clementinewebinar/index.cfm?dcode=d4183">www.spss.com/spssbi/directresponse/clementinewebinar/index.cfm?dcode=d4183</a>
	AR	"SAS Enterprise Miner", <a href="http://www.sas.com/technologies/analytics/datamining/miner">www.sas.com/technologies/analytics/datamining/miner</a>
	AS	SAS JMP, <a href="http://www.jmp.com">www.jmp.com</a>
	AT	Clifford C. Clogg, et al., "Multiple Imputation of Industry and Occupation Codes in Census Public-Use Samples Using Bayesian Logistic Regression", <u>Journal of the American Statistical Association</u> , Vol. 86, No. 413, pp. 68-78 (March 1991)

Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 17146-009001	Application No. 10/826,453
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Stephen K. Pinto et al.	
		Filing Date April 16, 2004	Group Art Unit 2123

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	BA	Roderick J.A. Little et al., <u>Statistical Analysis with Missing Data (2<sup>nd</sup> Edition)</u> , John J. Wiley & Sons, Inc., (2002), pp. 19-22; 166-188; and 292-309.
	BB	Donald B. Rubin, "Using Empirical Bayes Techniques in the Law School Validity Studies", <u>Journal of the American Statistical Association</u> , Vol. 75, No. 372, pp. 801-816 (December 1980)
	BC	
	BD	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	